

**MALAYSIAN CRITERIA AND INDICATORS FOR FOREST
MANAGEMENT CERTIFICATION
(FOREST PLANTATIONS)**

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MALAYSIAN CRITERIA AND INDICATORS FOR FOREST MANAGEMENT CERTIFICATION (FOREST PLANTATIONS)

Principles & Criteria	Indicators	Verifiers
<p>Principle #1: Compliance with Laws and Principles Forest management shall respect all applicable laws and international treaties and agreements to which Malaysia is a signatory, and comply with all the Principles and Criteria contained herein.</p>		
1.1 Forest management shall respect all federal and state laws and administrative requirements.	1.1.1 Records and availability of up-to-date relevant federal and state laws, and regulations and policies, in particular those related to forest plantation management.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Federal Constitution • National Land Code, 1965 • National Forestry Policy, 1978 • National Policy on Biological Diversity, 1998 • National Forestry Act, 1984 • State Forest Enactments • State Ordinances related to protection of wildlife • State Forest Rules • Land Conservation Act, 1960 • Environmental Quality Act, 1974 • Protection of Wildlife Act, 1972 • Aboriginal Peoples Act, 1954 • Occupational Safety and Health Act, 1994 • Water Act, 1920 • Pesticides Act, 1974 • Employees' Social Security Act, 1969 • Workmen's Compensation Act, 1952 • Industrial Relations Act, 1967 • Human Rights Commission of Malaysia Act, 1999 • Trade Unions Act, 1959 (Act 262) • Employment Act, 1955 • National Agricultural Policy, 1998-2010 • National Policy on Environment, 2002 • Factories and Machineries Act, 1967

Principles & Criteria	Indicators	Verifiers
		<p><u>Sabah</u></p> <ul style="list-style-type: none"> • Federal Constitution • Sabah State Constitution • State Forest Policy, 1954 • National Policy on Biological Diversity, 1998 • Forest Enactment, 1968 • Forest Rules, 1969 • Conservation of Environment Enactment, 1996 • Wildlife Conservation Enactment, 1997 • Water Resources Enactment, 1998 • State Cultural Heritage (Conservation) Enactment, 1997 • Biodiversity Enactment, 2000 • Occupational Safety and Health Act, 1994 • Land Ordinance, 1930 (Sabah Cap. 68) • Labour Ordinance (Sabah) Cap 67 • Environmental Quality Act, 1974 • Native Court Enactment, 1992 • Interpretation of the Native Ordinance, 1952 • All Adats recognized and enforceable by the Native Courts, including relevant decisions of the Civil Courts • Employees' Social Security Act, 1969 • Workmen's Compensation Act, 1952 • Trade Unions Act, 1959 (Act 262) • Industrial Relations Act, 1967 • Human Rights Commission of Malaysia Act, 1999 • Pesticides Act, 1974 • Pesticides Procedures (Labelling), 1984 • Native Court (Native Customary Laws) Rules, 1995 • Occupational Safety and Health (Classification, Packaging and Labelling) Regulations, 1997 • Occupational Safety and Health (Use and Standard of Exposure of Chemicals Hazardous to Health) Regulations, 2000

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		<p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Federal Constitution • Sarawak State Constitution • National Policy on Biological Diversity, 1998 • Statement of Forest Policy, 1954 • National Parks and Nature Reserves Ordinance, 1998 • Natural Resources and Environment Ordinance, 1993 (Cap. 84) and Rules/Orders made thereunder • Forests Ordinance, 1954 (Cap. 126) • Forest Rules, 1962 • Wild Life Protection Ordinance, 1998 (Cap. 26) • Wild Life Protection Rules, 1998 • Water Ordinance, 1994 and Regulations made thereunder • Sarawak Cultural Heritage Ordinance, 1993 • Occupational Safety and Health Act, 1994 and Regulations made thereunder • Labour Ordinance, 1952 (Sarawak Cap. 76) • Land Code, 1958 (Cap. 81) • Native Courts Ordinance, 1992 • Native Customs (Declaration) Ordinance, 1996 • Sarawak Biodiversity Centre Ordinance, 1997 and Regulations made thereunder • Sarawak Rivers Ordinance, 1993 (Cap. 4) and Regulations made thereunder • All Customary Laws/Adat Laws codified under the Native Customs (Declaration) Ordinance, 1996, and any other established customs of the communities recognized and enforceable by the Native Courts under the Native Courts Ordinance, 1992 and the Native Courts Rules, 1993 • Decisions of the Civil Courts pertaining to legal or customary tenure or use rights • Native Courts Rules, 1993 • Environmental Quality Act, 1974 • Employees' Social Security Act, 1969 • Employees' Social Security (General Regulations), 1971 • Human Rights Commission of Malaysia Act, 1999 • Pesticides Act, 1974 • Pesticides (Labelling) Regulations, 1984 • Occupational Safety and Health (Classification, Packaging and Labelling) Regulations, 1997 • Occupational Safety and Health (Use and Standard of Exposure of Chemicals Hazardous to Health) Regulations, 2000 • Industrial Relations Act, 1967 • Trade Unions Act, 1959 (Act 262) • Public Health Ordinance, 1962 • Prevention and Control of Infectious Disease Act, 1988 • Destruction of Diseases Bearing Insect Act 1978 • International Health Regulations, 2005 • A Master Plan for Wildlife in Sarawak, 1996 • Public Parks and Greens Ordinance, 1993 • The Forests (Planted Forests) Rules, 1997 • Natural Resources and Environment (Fire Danger Rating System) Order, 2004

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	1.1.2 Forest plantation managers are aware/knowledgeable of the relevant federal and state laws, administrative requirements, and the regulatory framework for management of forest plantations.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Copies of all the relevant federal and state laws, and regulatory procedures made available
	1.1.3 Record of violations and actions taken to address them.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of offences and actions taken
1.2 All applicable and legally prescribed fees, royalties, taxes, and other charges shall be paid.	1.2.1 Availability of current list of all legally prescribed fees, royalties, taxes, and other charges.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Rent and fees payable, royalty structure and rates
	1.2.2 Records are kept of all payments made.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of payments made
1.3 In signatory countries, the provisions of all binding international agreements such as CITES, ILO Conventions, ITTA, and Convention on Biological Diversity, shall be respected.	1.3.1 Forest plantation managers are aware of international agreements binding to Malaysia relevant to forest plantation management.	<u>Peninsular Malaysia/Sabah/Sarawak</u> Where relevant, provisions related to forest plantation management of the following binding international agreements are reflected in forest plantation management/development plan and respected in forest plantation management: <u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • United Nations Convention on Biological Diversity, 1992 • Cartagena Protocol on Biosafety to the Convention on Biological Diversity, 2000 • Convention on Wetlands of International Importance Especially as Waterfowl Habitat, 1971 • International Tropical Timber Agreement, 1994 • United Nations Framework Convention on Climate Change, 1992 • The Kyoto Protocol to the Convention on Climate Change, 1997 • Convention on International Trade in Endangered Species of Wild Fauna and Flora, 1973 • ASEAN Agreement on Transboundary Haze Pollution, 2002 • Core International Labour Organization (ILO) Conventions

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1.4 Conflicts between laws, regulations and these Principles and Criteria shall be evaluated for the purposes of certification, on a case by case basis, by the certifiers and the involved or affected parties.	1.4.1 Availability of documentation of any conflicts between laws, regulations and these Principles and Criteria.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of evaluation of conflicts between laws, regulations and these Principles and Criteria, if any
	1.4.2 Forest plantation managers are willing to participate in any process to resolve such conflicts that may arise with the affected parties.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records (minutes, diaries etc) of forest plantation manager's participation in resolving such conflicts, if any
1.5 Forest management areas should be protected from illegal harvesting, settlement and other unauthorized activities.	1.5.1 Availability of legal provisions for the establishment and protection of forest plantation areas.	Compliance with the following through records of monitoring: <u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • National Forestry Act, 1984 • National Land Code, 1965 <u>Sabah</u> <ul style="list-style-type: none"> • State Forest Policy, 1954 • Forest Enactment, 1968 • Land Ordinance, 1930 (Sabah Cap. 68) • Native Court Enactment, 1992 • Interpretation of the Native Ordinance, 1952 • All Adats recognized and enforceable by the Native Courts, including relevant decisions of the Civil Courts • Sustainable Forest Management Licence Agreement • Long Term Timber Licence Agreement <u>Sarawak</u> <ul style="list-style-type: none"> • Statement of Forest Policy, 1954 • Forests Ordinance, 1954 (Cap. 126) • Land Code, 1958 (Cap.81) • Native Courts Ordinance, 1992 • Native Courts Rules, 1993 • Native Customs (Declaration) Ordinance, 1996 • The Forests (Planted Forests) Rules, 1997

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	1.5.2 Control of encroachment, illegal harvesting, hunting, fishing and settlement, and other unauthorized activities.	Compliance with the following through record of monitoring: <u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • National Forestry Act, 1984 • State Forest Rules <u>Sabah</u> <ul style="list-style-type: none"> • Records of monitoring and actions taken <u>Sarawak</u> <ul style="list-style-type: none"> • Forests Ordinance, 1954 (Cap. 126) • Forest Rules, 1962 • Native Courts Ordinance, 1992 • Native Courts Rules, 1993 • Native Customs (Declaration) Ordinance, 1996 • All Customary Laws/Adat Laws codified under the Native Customs (Declaration) Ordinance, 1996, and any other established customs of the communities recognized and enforceable by the Native Courts under the Native Courts Ordinance, 1992; and the Native Courts Rules, 1993 • Decisions of the Civil Courts pertaining to legal or customary tenure or use rights • The Forests (Planted Forests) Rules, 1997
1.6 Forest managers shall demonstrate a long-term commitment to adhere to these Principles and Criteria.	1.6.1 Availability of policies or statements of commitment to forest plantation management practices consistent with these Principles and Criteria.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records or statements of such commitment
	1.6.2 Policies or statements are communicated throughout the organization and contractors, and are made available to the public.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of staff briefings • Relevant statements in contractual agreements • Public summary of policy statement

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<p>Principle #2: Tenure and Use Rights and Responsibilities Long-term tenure and use rights to the land and forest resources shall be clearly defined, documented and legally established.</p>		
<p>2.1 Clear evidence of long-term forest use rights to the land (e.g. land title, customary rights, or leased agreements) shall be demonstrated.</p>	<p>2.1.1 Availability of documentation of legal status, and established forest use rights of the land or forest resources within the relevant federal and state legal frameworks for the forest plantation areas.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • National Land Code, 1965 • Records of alienation and use rights • Concessionaire agreements • Forest harvesting licence • Use permits • Records of aboriginal reserves • Records of aboriginal areas <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Land title • Sustainable Forest Management Licence Agreement • Land Ordinance, 1930 (Sabah Cap. 68) • Native Court Enactment, 1992 • Interpretation of the Native Ordinance, 1952 • Long Term Timber Licence Agreement • All Adats recognized and enforceable by the Native Courts, including relevant decisions of the Civil Courts • Forest Enactment, 1968 • Sabah State Constitution <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Forests Ordinance, 1954 (Cap. 126) • Licence for Planted Forests • Land Code, 1958 (Cap. 81) (grants, leases, native customary rights and communal reserves) • All Customary Laws/Adat Laws codified under the Native Customs (Declaration) Ordinance, 1996, and any other established customs of the communities recognized and enforceable by the Native Courts under the Native Courts Ordinance, 1992; and the Native Courts Rules, 1993 • Decisions of the Civil Courts pertaining to legal or customary tenure or use rights • The Forests (Planted Forests) Rules, 1997

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	2.1.2 Forest plantation managers should support legally recognized mechanisms for resolving land claims.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of participation in the resolution of land claims, if any
2.2 Local communities with legal or customary tenure or use rights shall maintain control, to the extent necessary to protect their rights or resources, over forest operations unless they delegate control with free and informed consent to other agencies.	2.2.1 Availability of documentation, if any, of legal or customary tenure or use rights of local communities within relevant federal and state legal frameworks for the forest plantation area.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • National Land Code, 1965 • National Forestry Act, 1984 • Aboriginal Peoples Act, 1954 <u>Sabah</u> <ul style="list-style-type: none"> • Land Ordinance, 1930 (Sabah Cap. 68) • Established Native Customary Rights • Native Court Enactment, 1992 • Native Court (Native Customary Laws) Rules, 1995 • Interpretation of the Native Ordinance, 1952 • All Adats recognized and enforceable by the Native Courts, including relevant decisions of the Civil Courts <u>Sarawak</u> <ul style="list-style-type: none"> • Notification in Sarawak Government Gazette • Land Code, 1958 (Cap. 81) (grants, leases, native customary rights and communal reserves) • All Customary Laws/Adat Laws codified under the Native Customs (Declaration) Ordinance, 1996, and any other established customs of the communities recognized and enforceable by the Native Courts under the Native Courts Ordinance, 1992; and the Native Courts Rules, 1993 • Decisions of the Civil Courts pertaining to legal or customary tenure or use rights • The Forests (Planted Forests) Rules, 1997
	2.2.2 Forest plantation managers should collaborate with holders of duly recognized legal or customary tenure or use rights within relevant federal and state legal frameworks, in activities that may affect such rights.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of collaboration, if any

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<p>2.3 Appropriate mechanisms shall be employed to resolve disputes over tenure claims and use rights. The circumstances and status of any outstanding disputes will be explicitly considered in the certification evaluation. Disputes of substantial magnitude involving a significant number of interests will normally disqualify an operation from being certified.</p>	<p>2.3.1 Availability of appropriate mechanisms to resolve disputes over tenure claims and use rights.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Records of appropriate mechanisms • Records of disputes over tenure and use rights are maintained, if any <p><u>Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Records of outcomes of direct negotiations or arbitration • Records of decisions of Native Courts • Records of decisions of Civil Courts

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	3.1.3 Availability of appropriate mechanisms to resolve any conflicts and grievances between parties involved.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Mechanism for resolving any conflicts and grievances between parties involved • Records of resolutions, if any <u>Sabah</u> <ul style="list-style-type: none"> • Records of outcomes of direct negotiations or arbitration • Records of decisions of Native Courts • Records of decisions of Civil Courts <u>Sarawak</u> <ul style="list-style-type: none"> • Records of outcomes of direct negotiations or arbitration • Records of decision of Native Courts • Records of decision of Civil Courts
3.2 Forest management shall not threaten or diminish, either directly or indirectly, the resources or tenure rights of indigenous peoples.	3.2.1 Forest plantation management practices on indigenous people's lands recognized within relevant federal and state legal frameworks should not threaten or diminish, either directly or indirectly, their resources or tenure rights.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Recognition of resources or tenure rights of indigenous peoples as provided for under the Aboriginal Peoples Act, 1954 • Compliance with the Forest Plantation Management Plan <u>Sabah</u> <ul style="list-style-type: none"> • Land Ordinance, 1930 (Sabah Cap. 68) • Sustainable Forest Management Licence Agreement • Long Term Timber Licence Agreement • State Cultural Heritage (Conservation) Enactment, 1997 • Native Court Enactment, 1992 • All Adats recognized and enforceable by the Native Courts, including relevant decisions of the Civil Courts • Compliance with the Forest Plantation Development Plan <u>Sarawak</u> <ul style="list-style-type: none"> • Compliance with the Licence for Planted Forests, where applicable • Recognition of tenure and resource-based rights under established laws • Compliance with the Forest Plantation Management Plan

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<p>3.3 Sites of special cultural, ecological, economic or religious significance to indigenous peoples shall be clearly identified in cooperation with such peoples, and recognized and protected by forest managers.</p>	<p>3.3.1 Availability of appropriate procedures within current administrative processes for identifying and protecting such sites and provisions for rights of access to these sites by indigenous people within relevant federal and state legal frameworks or by mutual agreement.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • List and maps depicting locations of important cultural, ecological, economic or religious sites in the forest plantation area to be certified • Forest Plantation Management Plan • Records of dialogue and consultation held <p><u>Sabah</u></p> <ul style="list-style-type: none"> • State Cultural Heritage (Conservation) Enactment, 1997 • Forest Plantation Development Plan • List and maps depicting locations of important cultural, ecological, economic or religious sites in the forest plantation area to be certified • Records of consultation with Council of Native Elders <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Records of consultation with local communities and/or relevant agencies • List and maps depicting locations of important cultural, ecological, economic or religious sites in the forest plantation area to be certified • Forest Plantation Management Plan • Notification in Sarawak Government Gazette, if any • Forest Ordinance, 1954 (Cap. 126) • Sarawak Cultural Heritage Ordinance, 1993
	<p>3.3.2 Availability of appropriate mechanisms for conflict resolution.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Dialogue and consultation • Arbitration • Civil Courts <p><u>Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Dialogue and consultation • Arbitration • Native Courts • Civil Courts

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3.4 Indigenous peoples shall be compensated for the application of their traditional knowledge regarding the use of forest species or management systems in forest operations. This compensation shall be formally agreed upon with their free and informed consent before forest operations commence.	3.4.1 Availability of documentation, if any, of traditional forest-related knowledge and practices of indigenous people in the use of forest species or management systems in forest plantation operations.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of any traditional forest-related knowledge and practices of indigenous people used in forest plantation operations, if any • Records of consultation with indigenous peoples
	3.4.2 Availability of appropriate mechanisms and compensation for the commercial utilization of traditional forest-related knowledge and practices of indigenous people in accordance with existing legislation or by mutual agreement.	<u>Peninsular Malaysia/Sarawak</u> <ul style="list-style-type: none"> • Records of appropriate mechanisms for consultation and compensation for the commercial utilization of traditional forest-related knowledge and practices of indigenous people, if any <u>Sabah</u> <ul style="list-style-type: none"> • Records of appropriate mechanisms for consultation and compensation for the commercial utilization of traditional forest-related knowledge and practices of indigenous people, if any • Records of consultation with Native Fund Trustees, if applicable

Principles & Criteria	Indicators	Verifiers
Principle #4: Community Relations and Worker's Rights		
Forest management operations shall maintain or enhance the long-term social and economic well-being of forest workers and local communities.		
4.1 The communities within, or adjacent to, the forest management area should be given opportunities for employment, training, and other services.	4.1.1 Forest plantation managers provide, where appropriate, support for training, retraining, local infrastructure, facilities and social programs commensurate with the scale and intensity of forest plantation management operations.	<u>Peninsular Malaysia/Sarawak</u> <ul style="list-style-type: none"> • Records of training, retraining, local infrastructure, facilities and social programs provided, if any • Forest Plantation Management Plan <u>Sabah</u> <ul style="list-style-type: none"> • Sustainable Forest Management Licence Agreement • Long Term Timber Licence Agreement • Forest Plantation Development Plan • Community Forestry Committee • Records of training, retraining, local infrastructure, facilities and social programs provided
	4.1.2 Qualified people in communities living within, or adjacent to forest plantation areas are given preference for employment and contract works.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Employment records • Training records • Contract records • Records of effort to recruit workers from local communities

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4.2 Forest management should meet or exceed all applicable laws and/or regulations covering health and safety of employees and their families.	4.2.1 Up-to-date information on all applicable laws and/or regulations covering occupational safety and health of forest plantation workers should be disseminated to them.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Occupational Safety and Health Act, 1994 • Employees' Social Security Act, 1969 • Workmen's Compensation Act, 1952 • Factories and Machineries Act and Regulation, 1967 • Records of dissemination of safety and health information <u>Sabah</u> <ul style="list-style-type: none"> • Occupational Safety and Health Act, 1994 • Labour Ordinance (Sabah) Cap 67 • Employees' Social Security Act, 1969 • Workmen's Compensation Act, 1952 • Records of dissemination of safety and health information <u>Sarawak</u> <ul style="list-style-type: none"> • Occupational Safety and Health Act, 1994 and regulations made thereunder • Labour Ordinance, 1952 (Sarawak Cap. 76) • Employees' Social Security Act, 1969 • Employees' Social Security (General) Regulations, 1971 • Records of dissemination of safety and health information
	4.2.2 Availability of management policies addressing the occupational safety and health of forest plantation workers and their families.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Occupational Safety and Health Policy • Occupational Safety and Health Committees Regulations, 1996 • Forest Plantation Management Plan <u>Sabah</u> <ul style="list-style-type: none"> • Management Policy on Safety and Health of forest plantation workers • Health and Safety Committees established in accordance with the Occupational Safety and Health Act, 1994 • Forest Plantation Development Plan <u>Sarawak</u> <ul style="list-style-type: none"> • Management Policy on Safety and Health of forest plantation workers • Health and Safety Committees established in accordance with the Occupational Safety and Health Act, 1994 • Forest Plantation Management Plan

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	4.2.3 Appropriate safety and operational equipment in good working condition, including operational procedures, should be made available to forest plantation workers in the work place.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of equipment, maintenance and frequency of check-up • Forest plantation workers have access to, and are aware of operational procedures in the work place • Minutes of Occupational Safety and Health Committee meetings as required under the Occupational Safety and Health Act, 1994
	4.2.4 Forest plantation managers should maintain up-to-date safety records in compliance with all applicable laws and/or regulations covering health and safety of forest plantation workers.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Minutes of Occupational Safety and Health Committee meetings as required under the Occupational Safety and Health Act, 1994 • Minutes of Joint Employer-Employee meetings covering forest plantation workers • Safety and health records of forest plantation workers
	4.2.5 Demarcation of hazardous areas and provision of guidelines for storage and handling of hazardous materials.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Hazardous areas are clearly demarcated with proper signage • Occupational Safety and Health (Classification, Packaging and Labelling) Regulations, 1997 • Occupational Safety and Health (Use and Standard of Exposure of Chemicals Hazardous to Health) Regulations, 2000 • Environmental Quality Act, 1974 and associated Regulations • Availability of guidelines for storage and handling of hazardous materials

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<p>4.3 The rights of workers to organize and voluntarily negotiate with their employers shall be guaranteed as outlined in Conventions 87 and 98 of the International Labour Organization (ILO).</p>	<p>4.3.1 Provisions for forest plantation workers to freely organize into unions of their own choice in accordance with ILO Convention No. 87.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • ILO Convention No. 87 – The Freedom of Association and Protection of the Right to Organize Convention, 1948 • Industrial Relations Act, 1967 • Employment Act, 1955 • Federal Constitution <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Labour Ordinance (Sabah) Cap 67 • Trade Unions Act, 1959 (Act 262) • ILO Convention No. 87 – The Freedom of Association and Protection of the Right to Organize Convention, 1948 • Federal Constitution • Industrial Relations Act, 1967 <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Labour Ordinance, 1952 (Sarawak Cap. 76) • Federal Constitution • Industrial Relations Act, 1967 • Trade Unions Act, 1959 (Act 262) • ILO Convention No. 87 – The Freedom of Association and Protection of the Right to Organize Convention, 1948
	<p>4.3.2 Provisions for forest plantation workers the right to organize and undertake collective bargaining leading to agreements in accordance with ILO Convention No. 98.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Industrial Relations Act, 1967 • Employment Act, 1955 • Trade Unions Act, 1959 (Act 262) • ILO Convention No. 98 – The Right to Organize and Collective Bargaining Convention, 1949 (taking cognizance of ILO 100 & 111) <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Labour Ordinance (Sabah) Cap 67 • ILO Convention No. 98 – The Right to Organize and Collective Bargaining Convention, 1949 (taking cognizance of ILO 100 & 111) <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Labour Ordinance, 1952 (Sarawak Cap. 76) • ILO Convention No. 98 – The Right to Organize and Collective Bargaining Convention, 1949 (taking cognizance of ILO 100 & 111)

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	4.3.3 Availability of appropriate procedures to address grievances raised by forest plantation workers and/or their organizations and for conflict resolution.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Employment Act, 1955 • Employer-employee forum • Industrial Relations Act, 1967 • Records of fora for participation to resolve grievances, if any • Code of Conduct for Industrial Harmony, 1975 <u>Sabah</u> <ul style="list-style-type: none"> • Employer-employee forum • Labour Ordinance (Sabah) Cap 67 • Industrial Relations Act, 1967 • Records of fora for participation to resolve grievances, if any • Code of Conduct for Industrial Harmony, 1975 <u>Sarawak</u> <ul style="list-style-type: none"> • Employer-employee forum • Industrial Relations Act, 1967 • Records of fora for participation to resolve grievances, if any • Code of Conduct for Industrial Harmony, 1975
4.4 Management planning and operations shall incorporate the results of evaluations of social impact. Consultations shall be maintained with people and groups (both men and women) directly affected by management operations.	4.4.1 Forest plantation managers should evaluate, through consultations, social impact of forest plantation management operations directly affecting communities, and the people and groups directly affected by the forest plantation management operations should have access to information on the results of the social impact evaluations.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • EIA compliance report • Social Baseline Studies / Impact Assessment • Records of meetings and/or consultations between forest plantation managers and affected local communities
	4.4.2 Forest plantation planning and management practices should consider and incorporate the results of such evaluations.	<u>Peninsular Malaysia/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management Plan <u>Sabah</u> <ul style="list-style-type: none"> • Sustainable Forest Management Licence Agreement • Long Term Timber Licence Agreement • Forest Plantation Development Plan

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<p>4.5 Appropriate mechanisms shall be employed for resolving grievances and for providing fair compensation in the case of loss or damage affecting the legal or customary rights, property, resources, or livelihoods of local peoples. Measures shall be taken to avoid such loss or damage.</p>	<p>4.5.1 Provisions and measures within relevant federal and state legal frameworks should be taken to prevent loss or damage affecting the local people's legal or customary rights, property, resources, or their livelihoods.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Federal Constitution • Human Rights Commission of Malaysia Act, 1999 • Forest Plantation Management Plan • Records of meetings and/or consultations between forest managers with communities
	<p>4.5.2 Appropriate mechanisms within relevant federal and state legal frameworks are employed to resolve grievances involving loss or damage affecting the local people's legal or customary rights, property, resources, or their livelihoods, caused by forest plantation management operations.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Human Rights Commission of Malaysia Act, 1999 • Records of outcomes of direct negotiation • Records of outcomes of arbitration • Records of decisions by Courts of Law

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<p>Principle #5: Benefits from the forest Forest management operations shall encourage the efficient use of the forest’s multiple products and services to ensure economic viability and a wide range of environmental and social benefits.</p>		
<p>5.1 Forest management should strive toward economic viability, while taking into account the full environmental, social, and operational costs of production, and ensuring the investments necessary to maintain the ecological productivity of the forest.</p>	<p>5.1.1 Records of investments and reinvestments made in forest plantation management, including administration, research, human resource development, environmental and social costs for forest plantation areas, such as protection of biodiversity, environmental mitigation, monitoring and governance including business or development plan, cost benefit analysis, compensation and forgone revenue.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Business plan for forest plantation establishment and development • 5 Year Development Plan Budget • Annual Work Plan • Half-yearly Progress Reports • Compliance Report to the Annual Work Plan • Annual operating and development budget, including from federal and other sources, if any <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Forest Plantation Development Plan • Annual Work Plan • Quarterly Progress Reports • Compliance Report to the Annual Work Plan and the Sustainable Forest Management Licence Agreement • Annual budget and expenditure for forest plantation development <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Annual Work Plan • Quarterly Progress Reports • Compliance Report to the Annual Work Plan • Annual budget and expenditure for forest plantation development

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	5.1.2 Provisions and management are made to maintain, restore or enhance the productive capacity and ecological functions of the forest plantation areas to ensure its economic viability.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Forest Plantation Management Plan which includes prescriptions for reduced impact logging, rehabilitation, wildlife management, forest biodiversity conservation, and environmental mitigation • Results of growing stock inventories • Records of planting, including non-timber forest resources <u>Sabah</u> <ul style="list-style-type: none"> • Long Term Timber Licence Agreement • Sustainable Forest Management Licence Agreement • Forest Plantation Development Plan • Annual Work Plan • Records of growing stock growth rates • Records of planting, including non-timber forest resources <u>Sarawak</u> <ul style="list-style-type: none"> • Stand register • Forest Plantation Management Plan • Records of planting and production of timber and non-timber forest resources
5.2 Forest management and marketing operations should encourage the optimal use and local processing of the forest's diversity of products.	5.2.1 Application of forest plantation management practices to encourage the optimal use of forest plantation resources.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest plantation resource inventories • List and quantity of timber extracted • List and quantity of non-timber forest products extracted, where applicable
	5.2.2 Application of marketing operations to encourage local processing and diversifying the mix of commercial products derived from the forest plantation.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Quantity and percentage of timber and non-timber forest products processed locally • Quantity and percentage of locally processed timber and non-timber forest products exported
5.3 Forest management should minimize waste associated with harvesting and on-site processing operations and avoid damage to other forest resources.	5.3.1 Availability and implementation of guidelines for reduced/low impact logging to minimise damage to other forest resources and wastage.	<u>Peninsular Malaysia/Sabah</u> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan • Harvesting Plan • Procedures to evaluate product wastage from forest harvesting operations <u>Sarawak</u> <ul style="list-style-type: none"> • Harvesting Plan • Procedures to evaluate product wastage from forest harvesting operations

Principles & Criteria	Indicators	Verifiers
5.4 Forest management should strive to strengthen and diversify the local economy, avoiding dependence on a single forest product.	5.4.1 Application of forest plantation management strategy that encourages the production of a mix of commercial forest products (timber and non-timber forest resources).	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Diversification strategy in forest plantation development • Data on quantity of timber and non-timber forest products harvested within the forest plantation area, where applicable • Value of timber and non-timber forest products harvested within the forest plantation area, where applicable
5.5 Forest management operations shall recognize, maintain, and, where appropriate, enhance the value of forest services and resources such as watersheds and fisheries.	5.5.1 Availability and implementation of guidelines and/or procedures to identify and demarcate sensitive areas for the protection of soil and water, watercourses and wetlands in forest plantation areas.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Areas in the forest plantation identified, classified and mapped • Management Prescriptions for Non-Production Functional Classes of Forest, 2003, where relevant. <u>Sabah</u> <ul style="list-style-type: none"> • Guidelines on forest plantation management planning • Environmental Impact Assessment (EIA) Guidelines for Logging and Forest Clearance Activities, 2002 <u>Sarawak</u> <ul style="list-style-type: none"> • Procedures for Identifying and Demarcating Sensitive Areas for the Protection of Soil and Water, 1999 • Environmental Management Plan
	5.5.2 Availability and implementation of forest plantation management guidelines, where appropriate, to maintain and/or enhance the value of forest services and resources.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management / Development Plan, with prescriptions to maintain and/or enhance the value of forest services and resources where appropriate is implemented • Harvesting Plan • Annual Work Plan
5.6 The rate of harvest of forest products shall not exceed levels which can be permanently sustained.	5.6.1 Rate of harvest shall be in accordance with the Forest Plantation Management Plan.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan • Annual Work Plan
	5.6.2 Records of quantity of timber and non-timber forest products harvested should be maintained, taking cognisance of their sustainability in the long term.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of timber and non-timber forest products and quantity harvested within the forest plantation area

Principles & Criteria	Indicators	Verifiers
<p>Principle #6: Environmental Impact Forest management shall conserve biological diversity and its associated values, water resources, soils and unique and fragile ecosystems and landscapes, and, by so doing, maintain the ecological functions and the integrity of the forest.</p>		
<p>6.1 Assessment of environmental impacts shall be completed – appropriate to the scale, intensity of forest management and the uniqueness of the affected resources – and adequately integrated into management systems. Assessments shall include landscape level consideration as well as the impacts of on-site processing facilities. Environmental impacts shall be assessed prior to commencement of site-disturbing operations.</p>	<p>6.1.1 Assessment of environmental impacts are carried out, including landscape level considerations, as well as the impacts of on-site processing facilities, appropriate to the scale and intensity of forest plantation management, prior to commencement of site-disturbing operations in forest plantation areas.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Environmental impact assessment for forest plantation establishment should be conducted in accordance with the Environmental Quality Act 1974 • Environment Impact Assessment (EIA) reports • Forest Plantation Management Plan incorporating assessment of environmental impacts, where applicable <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Environmental Impact Assessment (EIA) Guidelines for Logging and Forest Clearance Activities, 2002 • Environment Impact Assessment (EIA) reports • Forest Plantation Development Plan incorporating assessment of environmental impacts, where applicable <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Environment Impact Assessment (EIA) reports • Forest Plantation Management Plan incorporating assessment of environmental impacts, where applicable • Environmental Management Plan
	<p>6.1.2 Assessment of environmental impacts are carried out, including the potential impacts on endangered, rare and threatened species of flora and fauna, and the need for biological corridors in forest plantation areas, appropriate to the scale and intensity of forest plantation management.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Environmental impact assessment for forest plantation establishment should be conducted in accordance with the Environmental Quality Act 1974 • Environment Impact Assessment (EIA) reports • Forest Plantation Management Plan incorporating assessment of environmental impacts, where applicable <p><u>Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Environment Impact Assessment (EIA) reports • Forest Plantation Management / Development Plan incorporating assessment of environmental impacts, where applicable • Environmental Management Plan
	<p>6.1.3 Forest plantation management plans should incorporate measures to mitigate the environmental impacts identified in the assessments.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Environment Impact Assessment (EIA) reports • Forest Plantation Management / Development Plan incorporating mitigation measures for all impacts identified in the environmental impact assessment report • Environmental monitoring reports

Principles & Criteria	Indicators	Verifiers
<p>6.2 Safeguards shall exist which protect rare, threatened and endangered species and their habitats (e.g. nesting and feeding areas). Conservation zones and protection areas shall be established, appropriate to the scale and intensity of forest management and the uniqueness of the affected resources. Inappropriate hunting, fishing, trapping and collecting shall be controlled.</p>	<p>6.2.1 Availability and implementation of guidelines to identify and protect endangered, rare and threatened species of forest flora and fauna, including features of special biological interest, such as seed trees, nesting and feeding areas, in forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan • Environmental Management Plan
	<p>6.2.2 Availability and implementation of management guidelines to establish representative conservation and protection areas, in accordance with existing forest ecosystems, appropriate to the scale and intensity of forest plantation management.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan • Environmental Management Plan
	<p>6.2.3 Existence of cooperation between forest plantation managers, and conservation organisations and regulatory authorities in implementing conservation and management activities.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Records of meetings or collaboration • Collaborative projects, if any
	<p>6.2.4 Hunting, fishing and collecting activities should be controlled and unauthorised and/or inappropriate activities prevented in forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Records and/or reports of hunting, fishing and collecting forest products • Measures to prevent unauthorised and/or inappropriate hunting, fishing, trapping and collection, such as periodical patrolling of forest plantation areas, signage, and closure of access to planted areas
	<p>6.2.5 Forest plantation workers shall be aware of endangered, rare and threatened species of forest flora and fauna found in the forest plantation areas. Such awareness shall be promoted among local communities.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Records of awareness activities such as briefings, posters and publications

Principles & Criteria	Indicators	Verifiers
<p>6.3 Ecological functions and values shall be maintained intact, enhanced, or restored, including:-</p> <p>a) Forest regeneration and succession.</p> <p>b) Genetic, species and ecosystem diversity.</p> <p>c) Natural cycles that affect the productivity of the forest ecosystem.</p> <p><i>(This Criterion shall apply only to areas/sites within the forest plantation management unit which are allocated for conservation or natural forest management)</i></p>	<p>6.3.1 Availability and implementation of forest plantation management guidelines to demarcate and protect natural forests, and measures to enhance natural regeneration, where necessary, in forest plantation areas.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Records of areas of natural forest demarcated, mapped and protected • Handbook on Enrichment Planting, 1978 • <i>Panduan Aktiviti Tanaman Mengaya (Pekeliling KPPSM Bil. 2/96) [Bab 9, Manual Kerja Luar Sistem Pengurusan Memilih (Selective Management System), JPSM, 1997]</i>[Guidelines on Enrichment Planting Activities], where relevant <p><u>Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan • Records of areas of natural forest demarcated, mapped and protected • Enrichment planting techniques
	<p>6.3.2 Availability and implementation of guidelines for the conservation of genetic, species and ecosystem diversity in the forest plantation areas.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Forest Plantation Management Plan • <i>Panduan Penubuhan dan Penyelenggaraan Kawasan-kawasan Simpanan Hutan Dara, 1987</i> [Guidelines for the Establishment and Maintenance of Virgin Jungle Reserves, 1987], where relevant • Seed production areas, where relevant <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Forest Plantation Development Plan • Harvesting Plan appropriate to the scale and intensity of forest plantation management • Wildlife Conservation Enactment, 1997 • Biodiversity Enactment, 2000 <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management Plan • A Master Plan for Wildlife in Sarawak, 1996 • Wild Life Protection Ordinance, 1998 (Cap. 26) • Environmental Management Plan
	<p>6.3.3 Harvesting of forest plantation is designed taking into consideration the need for biological corridors and buffer zones for wildlife.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management / Development Plan • Harvesting Plan appropriate to the scale and intensity of harvesting operations • Annual Work Plan

Principles & Criteria	Indicators	Verifiers
6.4 Representative samples of existing ecosystems within the landscape shall be protected in their natural state and recorded on maps, appropriate to the scale and intensity of operations and the uniqueness of the affected resources.	6.4.1 Representative areas of existing forest ecosystems, appropriate to the scale and intensity of forest plantation operations, identified and selected under 6.2.2, are demarcated, mapped and protected in their natural state.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Records and maps of such areas • Annual Work Plan <u>Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management / Development Plan • Records and maps of such areas • Annual Work Plan • Harvesting Plan appropriate to the scale and intensity of harvesting operations
	6.4.2 Implementation of appropriate protection and management activities for these areas.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Records of implementation <u>Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management / Development Plan • Records of implementation
6.5 Written guidelines shall be prepared and implemented to: control erosion; minimize forest damage during harvesting, road construction, and all other mechanical disturbances; and protect water resources.	6.5.1 Availability of forest plantation establishment procedures to avoid loss of top soil nutrients, and soil erosion and compaction from inappropriate use of mechanical and chemical techniques.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management / Development Plan
	6.5.2 Availability and implementation of harvesting procedures to protect the soil from compaction by harvesting machinery and erosion during harvesting operations.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Harvesting Plan <u>Sabah</u> <ul style="list-style-type: none"> • Forest Plantation Development Plan • Harvesting Plan • Cable logging techniques or other appropriate harvesting systems • Records of implementation <u>Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Harvesting Plan

Principles & Criteria	Indicators	Verifiers
	6.5.3 Availability and implementation of guidelines for forest road lay-out and construction, including log landings and drainage requirements.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Harvesting Plan <u>Sabah/Sarawak</u> <ul style="list-style-type: none"> • Harvesting Plan
	6.5.4 Availability and implementation of guidelines for conservation of buffer strips along streams and rivers.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Buffer strips for permanent streams and rivers in forest plantation areas, on both sides of the stream or river, are demarcated and felling of trees is prohibited • Forest Plantation Management Plan • Harvesting Plan <u>Sabah/Sarawak</u> <ul style="list-style-type: none"> • Forest Plantation Management / Development Plan • Harvesting Plan
6.6 Management systems shall promote the development and adoption of environmentally friendly non-chemical methods of pest management and strive to avoid the use of chemical pesticides. World Health Organization Type 1A and 1B and chlorinated hydrocarbon pesticides; pesticides that are persistent, toxic or whose derivatives remain biologically active and accumulate in the food chain beyond their intended use; as well as any pesticides banned by international agreement, shall be prohibited. If chemicals are used, proper equipment and training shall be provided to minimize health and environmental risks.	6.6.1 Availability and implementation of guidelines and/or procedures on the use of chemicals in forest plantation areas approved by relevant regulatory authorities.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Pesticides Act, 1974 • Pesticides (Labelling) Regulations, 1984 • Occupational Safety and Health (Classification, Packaging and Labelling) Regulations, 1997 • Occupational Safety and Health (Use and Standard of Exposure of Chemicals Hazardous to Health) Regulations, 2000
	6.6.2 Forest plantation workers should be aware of the procedures on the use of approved chemicals in forest plantation areas.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Safe Operating Procedures • Training Records

Principles & Criteria	Indicators	Verifiers
6.7 Chemicals, containers, liquid and solid non-organic wastes, including fuel and oil, shall be disposed of in an environmentally appropriate manner at off-site locations.	6.7.1 Oil, fuel, tyres, containers, liquid and solid non-organic wastes, should be disposed of in an environmentally appropriate and legal manner.	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Environmental Quality Act, 1974 • Policies on re-cycling of non-organic waste, if any • Written instructions on re-cycling of non-organic waste, if any • Records of disposal of containers, liquid and solid non-organic wastes, including fuel and oil, in an environmentally appropriate and legal manner <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Environmental Quality Act, 1974 • Policies on re-cycling of non-organic waste • Records of re-cycling of non-organic waste • Records of disposal of containers, liquid and solid non-organic wastes, including fuel and oil, in an environmentally appropriate and legal manner <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Written instructions on re-cycling of non-organic waste • Records of disposal of containers, liquid and solid non-organic wastes, including fuel and oil, in an environmentally appropriate and legal manner
6.8 Use of biological control agents shall be documented, minimized, monitored and strictly controlled in accordance with federal laws and internationally accepted scientific protocols. Use of genetically modified organisms shall be prohibited.	6.8.1 Documentation, monitoring and control of biological control agents in accordance with relevant federal and state laws, and internationally accepted protocols, if such agents are used.	<p><u>Peninsular Malaysia/Sabah</u></p> <ul style="list-style-type: none"> • Cartagena Protocol on Biosafety to the Convention on Biological Diversity, 2000 • Documentation and monitoring records of use of biological control agents, if applied <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Documentation and monitoring records of use of biological control agents, if applied
6.9 The use of exotic species shall be carefully controlled and actively monitored to avoid adverse ecological impacts.	6.9.1 Forest plantation managers should carefully document, control and monitor the use of exotic species to avoid adverse ecological impacts.	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • List of exotic plant and animal species used, if any • Records of monitoring and control of exotic plant and animal species, if any <p><u>Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • List of exotic plant and animal species used, if any • Evaluation report of potential ecological impacts if such species are used • Records of monitoring and control of exotic plant and animal species, if any

Principles & Criteria	Indicators	Verifiers
<p>6.10 Forest conversion to plantations or non-forest land uses shall not occur, except in circumstances where conversion:-</p> <p>a) does not occur on high conservation value forest areas; and</p> <p>b) will enable clear, substantial, additional, secure, long-term conservation benefits across the forest management unit.</p>	<p>6.10.1 Conversion of forest area to forest plantations, consistent with the provisions of relevant federal and state legal frameworks and policies, should provide substantial, additional, secure and long term benefits across the forest plantation management unit.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Social, environmental and economic cost benefit analysis • Environmental impact assessment, in accordance with the Environmental Quality Act, 1974 <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Forest Plantation Development Plan • Social, environmental and economic cost benefit analysis • Sustainable Forest Management Licence Agreement • Environment Impact Assessment (EIA) reports <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management Plan • Social, environmental and economic cost benefit analysis • Environmental Impact Assessment, where required by the Natural Resource and Environment Ordinance, 1997 (Cap. 84)
	<p>6.10.2 Conversion of forest area to non-forest land uses, consistent with the provisions of relevant federal and state legal frameworks and policies, should provide higher economic values as compared to its original use, in the overall context of the need for socio-economic development of the country.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Conversion of forest area to non-forest land use should be in accordance with the National Forestry Act, 1984 • Environment Impact Assessment (EIA) reports <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Sustainable Forest Management Licence Agreement • Environment Impact Assessment (EIA) reports <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Conversion of forest area to non-forest land use should be in accordance with the Forests Ordinance, 1954 (Cap. 126) • Environment Impact Assessment (EIA) reports

Principles & Criteria	Indicators	Verifiers
<p>Principle #7: Management Plan A management plan – appropriate to the scale and intensity of the operations – shall be written, implemented, and kept up to date. The long term objectives of management, and the means of achieving them, shall be clearly stated.</p>		
<p>7.1 The management plan and supporting documents shall provide:-</p> <ol style="list-style-type: none"> a) Management objectives. b) Description of the forest resources to be managed, environmental limitations, land use and ownership status, socio-economic conditions, and a profile of adjacent lands. c) Description of silvicultural and/or other management system, based on the ecology of the forest in question and information gathered through resource inventories. d) Rationale for rate of annual harvest and species selection. e) Provisions for monitoring of forest growth and dynamics. f) Environmental safeguards based on environmental assessments. g) Plans for the identification and protection of rare, threatened and endangered species. h) Maps describing the forest resource base including protected areas, planned management activities and land ownership. i) Description and justification of harvesting techniques and equipment to be used. 	<p>7.1.1 Implementation of forest plantation management plan covering items (a) to (i) in Criterion 7.1, and prepared in accordance with existing guidelines and the provisions listed in Principle #1.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan

Principles & Criteria	Indicators	Verifiers
7.2 The management plan shall be periodically revised to incorporate the results of monitoring or new scientific and technical information, as well as to respond to changing environmental, social and economic circumstances.	7.2.1 Implementation of procedures to periodically revise the forest plantation management plan, incorporating the results of monitoring or new scientific and technical information, the frequency of which should be appropriate to the scale and intensity of forest plantation management, so as to respond to changing environmental, social and economic circumstances.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Periodic review as prescribed in the Forest Plantation Management / Development Plan
	7.2.2 Forest plantation managers should be aware of new scientific and technical information pertinent to the management of the planted area to be certified.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Records of new scientific and technical information pertinent to the management of the planted area to be certified
7.3 Forest workers shall receive adequate training and supervision to ensure proper implementation of the management plan.	7.3.1 Availability of facilities and programs for training of forest plantation workers for proper implementation of the forest plantation management plan.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • List of training facilities and programmes conducted and/or attended
	7.3.2 Forest plantation workers should be trained as to their respective roles in the implementation of the forest plantation management plan.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Staff training records and certificates issued
7.4 While respecting the confidentiality of information, forest managers shall make publicly available a summary of the primary elements of the management plan, including those listed in Criterion 7.1.	7.4.1 A summary of the primary elements of the forest plantation management plan as prepared and implemented under Indicator 7.1.1 should be made publicly available.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Summary of the Forest Plantation Management/Development Plan made publicly available

Principles & Criteria	Indicators	Verifiers
<p>Principle #8: Monitoring and Assessment Monitoring shall be conducted – appropriate to the scale and intensity of forest management – to assess the condition of the forest, yields of forest products, chain-of-custody, management activities and their social and environmental impacts.</p>		
<p>8.1 The frequency and intensity of monitoring should be determined by the scale and intensity of forest management operations as well as the relative complexity and fragility of the affected environment. Monitoring procedures should be consistent and replicable over time to allow comparison of results and assessment of change.</p>	<p>8.1.1 Forest plantation managers should implement a comprehensive network of permanent sample plots, appropriate to the scale and intensity of forest plantation management operations, to assess the health of the planted trees, forest ecosystem and the forest environment, growing stock conditions and increment.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Details of permanent sample plots established in the forest plantation areas to be certified • Records of periodic measurements • Results of analysis of the periodic measurements
	<p>8.1.2 Forest plantation managers should identify and implement appropriate monitoring procedures, in accordance with the scale and intensity of the forest plantation management operations, for assessing social, ecological, environmental and economic impacts.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Procedures to monitor social, ecological, environmental and economic impacts • Inspection reports • Auditing and monitoring reports • Environmental Impact Assessment Compliance Report
<p>8.2 Forest management should include the research and data collection needed to monitor, at a minimum, the following indicators:-</p> <ol style="list-style-type: none"> a) Yield of all forest products harvested. b) Growth rates, regeneration and condition of the forest. c) Composition and observed changes in the flora and fauna. d) Environmental and social impacts of harvesting and other operations. e) Costs, productivity, and efficiency of forest management. 	<p>8.2.1 Forest plantation managers should gather the relevant information, appropriate to the scale and intensity of forest plantation management, needed to monitor the items (a) to (e) listed in Criterion 8.2.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan

Principles & Criteria	Indicators	Verifiers
8.3 Documentation shall be provided by the forest manager to enable monitoring and certifying organizations to trace each forest product from its origin, a process known as the “chain-of-custody”.	8.3.1 Forest plantation managers should provide relevant documents for identifying all forest products leaving the planted area to be certified so that their origin could be easily determined.	<u>Peninsular Malaysia</u> <ul style="list-style-type: none"> • Felling and extraction records • Removal Passes/Permits <u>Sabah</u> <ul style="list-style-type: none"> • Felling and extraction records • Removal Passes/Permits • Record of source of planting materials <u>Sarawak</u> <ul style="list-style-type: none"> • Felling and extraction records
8.4 The results of monitoring shall be incorporated into the implementation and revision of the management plan.	8.4.1 Forest plantation managers should incorporate the results and findings of the monitoring activities into the implementation and revision of the forest plantation management plan.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Revised Forest Plantation Management/Development Plan • Monitoring Reports
8.5 While respecting the confidentiality of information, forest managers shall make publicly available a summary of the results of monitoring indicators, including those listed in Criterion 8.2.	8.5.1 A summary of the results of monitoring indicators, including those listed in Criterion 8.2, should be made publicly available.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Summary of the Forest Plantation Management Plan make publicly available

Principles & Criteria	Indicators	Verifiers
<p>Principle #9: Maintenance of High Conservation Value Forests Management activities in high conservation value forests shall maintain or enhance the attributes which define such forests. Decisions regarding high conservation value forests shall always be considered in the context of a precautionary approach.</p>		
<p>9.1 Assessment to determine the presence of the attributes consistent with High Conservation Value Forests will be completed, appropriate to scale and intensity of forest management.</p>	<p>9.1.1 Forest plantation managers should conduct an assessment to identify High Conservation Value Forests (HCVFs) in accordance with relevant federal and state laws and guidelines, appropriate to scale and intensity of management for forest plantation areas, and in consultation with relevant stakeholders and experts.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Report on the assessment, identification and demarcation on maps of HCVFs in the Forest Plantation Management/Development Plan
<p>9.2 The consultative portion of the certification process must place emphasis on the identified conservation attributes, and options for the maintenance thereof.</p>	<p>9.2.1 Forest plantation managers should provide the assessors with a list of relevant stakeholders who have been consulted regarding the HCVFs.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • List of relevant stakeholders consulted regarding the HCVFs • Records of meetings/dialogues with relevant stakeholders and experts regarding the HCVFs
	<p>9.2.2 Forest plantation managers should demonstrate that forest plantation management protect areas of high conservation value in forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan
<p>9.3 The management plan shall include and implement specific measures that ensure the maintenance and/or enhancement of the applicable conservation attributes consistent with the precautionary approach. These measures shall be specifically included in the publicly available management plan summary.</p>	<p>9.3.1 Measures to demarcate, maintain and/or enhance the HCVF attributes are documented in the forest plantation management plan and effectively implemented.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • HCVF areas demarcated on maps in the Forest Plantation Management/Development Plan • Management prescriptions and reports to maintain and/or enhance HCVF attributes
	<p>9.3.2 These measures should be included in the forest plantation management plan summary made publicly available.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Summary of the Forest Plantation Management / Development Plan with the HCVF measures included made publicly available

FSC Principles & Criteria	Indicators	Verifiers
9.4 Annual monitoring shall be conducted to assess the effectiveness of the measures employed to maintain or enhance the applicable conservation attributes.	9.4.1 Forest plantation managers should conduct, appropriate to scale and intensity of forest plantation management, annual monitoring to assess the effectiveness of the measures in the management of the HCVFs in forest plantation areas.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Monitoring procedures to assess the effectiveness of the measures in the management of the HCVFs • Monitoring records
	9.4.2 Forest plantation managers should incorporate the results and findings of the HCVFs monitoring activities into the implementation and revision of the forest plantation management plan.	<u>Peninsular Malaysia/Sabah/Sarawak</u> <ul style="list-style-type: none"> • Revised Forest Plantation Management / Development Plan • Monitoring records

Principles & Criteria	Indicators	Verifiers
<p>Principle #10: Plantation Development Plantations shall be planned, established and managed in accordance with Principles 1 – 9 and their associated Criteria, and Principle 10 and its Criteria.</p>		
<p>10.1 The management objectives of the plantation, including natural forest conservation and restoration objectives, shall be explicitly stated in the management plan, and clearly demonstrated in the implementation of the plan.</p>	<p>10.1.1 Implementation of forest plantation management plan with clear management objectives, including natural forest conservation and restoration objectives.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan explicitly addresses activities involving natural forest conservation and restoration, if applicable • Periodic progress reports in natural forest conservation and restoration, if applicable
<p>10.2 The design and layout of plantations should promote the protection, restoration and conservation of natural forests, and not increase pressures on natural forests. Wildlife corridors, streamside zones and a mosaic of stands of different ages and rotation periods, shall be used in the layout of the plantation, consistent with the scale of the operation. The scale and layout of plantation blocks shall be consistent with the patterns of forest stands found within the natural landscape.</p>	<p>10.2.1 Availability of forest plantation design and layout that promote the protection, restoration and conservation of natural forests for forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Design and layout for forest plantation establishment and development • Management prescriptions that promote the protection, restoration and conservation of natural forests in the forest plantation area
	<p>10.2.2 Forest plantation establishment should, appropriate to the scale of the operation, follow natural landscape and take into account the need for wildlife corridors, buffer strips for permanent streams and rivers, as well as a mosaic of stands of different age classes.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Forest Plantation Management/Development Plan • Records and maps of planting patterns in the forest plantation area
<p>10.3 Diversity in the composition of plantations is preferred, so as to enhance economic, ecological and social stability. Such diversity may include the size and spatial distribution of management units within the landscape, number and genetic composition of species, age classes and structures.</p>	<p>10.3.1 Availability of information on planting stock and species planted in the forest plantation areas, taking cognizance that diversity in composition of forest plantation is preferred, so as to enhance economic, ecological and social stability.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • List of species planted in the forest plantation area, including their origin • Records of number of each species planted in the forest plantation area
	<p>10.3.2 Availability of documentation of the size and spatial distribution of the established forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Extent of area planted by each species and by age classes • Maps depicting the spatial distribution of the planted species

Principles & Criteria	Indicators	Verifiers
<p>10.4 The selection of species for planting shall be based on their overall suitability for the site and their appropriateness to the management objectives. In order to enhance the conservation of biological diversity, native species are preferred over exotic species in the establishment of plantations and the restoration of degraded ecosystems. Exotic species, which shall be used only when their performance is greater than that of native species, shall be carefully monitored to detect unusual mortality, disease, or insect outbreaks and adverse ecological impacts.</p>	<p>10.4.1 Availability of documentation of choice of species that match the site conditions and the management objectives of the established forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Records of soil and site survey Documentation of growth characteristics, performance and management of the selected species for forest plantation establishment
	<p>10.4.2 Availability of justification and/or analysis of the comparative advantages/benefits of choosing exotic species over native species in forest plantations establishment and/or restoration.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Results of comparative justification and/or analysis on the advantages/benefits of using exotic species over native species found naturally in the planted areas for forest plantations establishment and/or restoration
	<p>10.4.3 Assessment of forest plantations established with exotic species through monitoring of permanent sample plots to detect unusual mortality, disease, or insect outbreaks and adverse ecological impacts.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Records of monitoring of forest plantations established with exotic species Results of analysis of permanent sample plots
<p>10.5 A proportion of the overall forest management area, appropriate to the scale of the plantation and to be determined at state level, shall be managed so as to restore the site to a natural forest cover.</p>	<p>10.5.1 Provision and measures, appropriate to the scale of the forest plantation, determined at state level, to manage part of the forest plantation areas as natural forest.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Documentation and maps depicting areas managed as natural forests in the forest plantation area Management strategy, plans and programs for monitoring progress in managing the natural forests
<p>10.6 Measures shall be taken to maintain or improve soil structure, fertility, and biological activity. The techniques and rate of harvesting, road and trail construction and maintenance, and the choice of species shall not result in long term soil degradation or adverse impacts on water quality, quantity or substantial deviation from stream course drainage patterns.</p>	<p>10.6.1 Application of appropriate site preparation and planting techniques, road and trail construction and maintenance, and the selection of species for forest plantation areas that would not cause long term soil degradation or adverse impacts on water quality and quantity or substantial deviation from stream course drainage patterns.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Guidelines for site preparation and planting techniques Harvesting Plan Documentation of choice of species selected for forest plantation establishment
<p>10.7 Measures shall be taken to prevent and minimize outbreaks of pests, diseases, fire and invasive plant introductions. Integrated pest management shall form an essential part of the management plan, with primary reliance on prevention and biological control methods rather than chemical pesticides and fertilizers. Plantation management should make every effort to move away from chemical pesticides and fertilizers, including their use in nurseries. The use of chemicals is also covered in Criteria 6.6 and 6.7.</p>	<p>10.7.1 Availability and implementation of guidelines and/or procedures to control the outbreaks of pests, diseases and fire, as well as invasive plant introductions, if any, for forest plantation areas.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Forest Plantation Management/Development Plan Guidelines for pest control and disease management practices, including forest hygiene practices and biological control methods Forest Fire Management Plan Procedures for preventing, monitoring and assessing invasive plant introductions, if any
	<p>10.7.2 Availability and implementation of fertilization schedule for forest plantation areas, including the use of chemical pesticides and biological agents such as plants and soil organisms that fix and store key elements and nutrients.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> Forest Plantation Management /Development Plan Records of application of fertilizers in forest plantation establishment and development, including their use in nurseries, as well as use of chemical pesticides and biological agents, if any

Principles & Criteria	Indicators	Verifiers
<p>10.8 Appropriate to the scale and diversity of the operations, monitoring of plantations shall include regular assessment of potential on-site and off-site ecological and social impacts, (e.g. natural regeneration, effects on water resources and soil fertility, and impacts on local welfare and social well-being), in addition to those elements addressed in Principles 8, 6 and 4. No species should be planted on a large scale until local trials and/or experience have shown that they are ecologically well-adapted to the site, are not invasive, and do not have significant negative ecological impacts on other ecosystems. Special attention will be paid to social issues of land acquisition for plantations, especially the protection of local rights of ownership, use or access.</p>	<p>10.8.1 Availability and implementation of programs, appropriate to the scale and diversity of the forest plantation operations, to monitor the potential on-site and off-site ecological and social impacts of forest plantation establishment and development.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • Environment Impact Assessment (EIA) reports • Forest Plantation Management Plan <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Environment Impact Assessment (EIA) reports • Forest Plantation Development Plan incorporating assessment of ecological and social impacts • Environmental Management Plan • Environmental Monitoring Report <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Environment Impact Assessment (EIA) reports • Forest Plantation Management Plan • Environmental Management Plan • Environmental Monitoring Report
	<p>10.8.2 Availability of documentation of nursery and field trials, including provenance trials, of selected species for forest plantation establishment.</p>	<p><u>Peninsular Malaysia/Sabah/Sarawak</u></p> <ul style="list-style-type: none"> • Reports on nursery and field trials, including provenance trials, of the selected species used in forest plantation establishment • Results and analysis of nursery and field trials, including provenance trials, of the selected species used in forest plantation establishment
	<p>10.8.3 Availability of documentation of land acquisition within relevant federal and state legal frameworks for forest plantation areas that respect and protect rights of ownership, use or access.</p>	<p><u>Peninsular Malaysia</u></p> <ul style="list-style-type: none"> • National Land Code, 1965 • National Forestry Act, 1984 • Aboriginal Peoples Act, 1954 <p><u>Sabah</u></p> <ul style="list-style-type: none"> • Land title • Sustainable Forest Management Licence Agreement • Long Term Timber Licence Agreement • Record of settlement of land disputes, where applicable • Record of land application • Established Native Customary Rights <p><u>Sarawak</u></p> <ul style="list-style-type: none"> • Licence for planted forests • Land Code, 1958 (Cap. 81)

Key definitions of important terms used in the MC&I(Forest Plantations):

Adat: Adat means native customs which include way of life, basic values, systems of belief, code of conduct, manners, conventions and cultural practices according to which indigenous society is ordered. (Source: Malaysian Criteria and Indicators for Forest Management Certification, August 2004).

Biological diversity: The variability among living organisms from all sources including, *inter alia*, terrestrial, marine and other aquatic ecosystems and the ecological complexes of which they are a part; this includes diversity within species, between species and of ecosystems. (Source: Convention on Biological Diversity).

Biological control agents: Living organisms used to eliminate or regulate the population of other living organisms. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Chain-of-custody: The channel through which products are distributed from their origin in the forest to their end-use. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Chemicals: The range of fertilizers, insecticides, fungicides, and hormones which are used in forest management. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Criterion (pl. Criteria): A means of judging whether or not a Principle (of forest stewardship) has been fulfilled. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Customary rights: Rights which result from a long series of habitual or customary actions, constantly repeated, which have, by such repetition and by uninterrupted acquiescence, acquired the force of a law within a geographical or sociological unit. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Ecosystem: A community of all plants and animals and their physical environment, functioning together as an interdependent unit. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Encroachment: The act or action of using forest land contrary to the provisions provided for in forestry laws and regulations with regard to forest land uses. (Source: Malaysian Criteria and Indicators for Forest Management Certification, August 2004).

Endangered species: Any species which is in danger of extinction throughout all or a significant portion of its range. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Exotic species: An introduced species not native or endemic to the area in question. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Forest Management Unit: A forest management unit (FMU) is a clearly defined forest area, managed to a set of explicit objectives and according to a long-term management plan. (Source: Revised ITTO Criteria and Indicators for the Sustainable Management of Tropical Forests, including Reporting Format. ITTO Policy Development Series No. 15, 2005).

Forest plantation: Forest stand in which trees have been established by planting or/and deliberate seeding or coppicing (where the coppicing is previously planted trees) with either native species or non-native species that meet all the following criteria, namely, one or two or few species, even-aged, and regular spacing. (Source: Third Expert Meeting on Harmonizing Forest-related Definitions for Use by Various Stakeholders, FAO, Rome, Italy, 17-19 January, 2005).

Genetically modified organisms (GMOs): Biological organisms which have been induced by various means to consist of genetic structural changes. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

High Conservation Value Forests: High Conservation Value Forests (HCVFs) are those that possess one or more of the following attributes:-

- (i) forest areas containing globally, regionally or nationally significant concentrations of biodiversity values (e.g. endemism, endangered species, refugia); and/or large landscape level forests, contained within, or containing the management unit, where viable populations of most if not all naturally occurring species exist in natural patterns of distribution and abundance;
- (ii) forest areas that are in or contain rare, threatened or endangered ecosystems;
- (iii) forest areas that provide basic services of nature in critical situations (e.g. watershed protection, erosion control); and
- (iv) forest areas fundamental to meeting basic needs of local communities (e.g. subsistence, health) and/or critical to local communities' traditional cultural identity (areas of cultural, ecological, economic or religious significance identified in cooperation with such local communities).

(Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Indicator: A qualitative, quantitative or descriptive attribute that, when periodically measured or monitored, indicates the direction of change. (Source: Revised ITTO Criteria and Indicators for the Sustainable Management of Tropical Forests, including Reporting Format. ITTO Policy Development Series No. 15, 2005).

Indigenous peoples: The existing descendants of the peoples who inhabited the present territory of a country wholly or partially at the time when persons of a different culture or ethnic origin arrived there from other parts of the world, overcame them and, by conquest, settlement, or other means reduced them to a non-dominant or colonial situation; who today live more in conformity with their particular social, economic and cultural customs and traditions than with the institutions of the country of which they now form a part, under State structure which incorporates mainly the national, social and cultural characteristics of other segments of the population which are predominant. (Source: Working definition adopted by the UN Working Group on Indigenous Peoples. In: the FSC Principles and Criteria for Forest Stewardship, April 2004).

Landscape: A geographical mosaic composed of interacting ecosystems resulting from the influence of geological, topographical, soil, climatic, biotic and human interactions in a given area. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Local communities: Permanent or stable human communities who rely on the forest resources and ecosystems, are having their own rules, cultural norms and expectations, are living in or adjacent to the forest area, and are affected by the development and establishment of the forest plantation. (Source: First Meeting of the Technical Working Group, July 2006)

Long term: The time-scale of the forest owner or manager as manifested by the objectives of the management plan, the rate of harvesting, and the commitment to maintain permanent forest cover. The length of time involved will vary according to the context and ecological conditions, and will be a function of how long it takes a given ecosystem to recover its natural structure and composition following harvesting or disturbance, or to produce mature or primary conditions. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Native species: A species that occurs naturally in the region, endemic to the area. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Natural cycles: Nutrient and mineral cycling as a result of interactions between soils, water, plants, and animals in forest environments that affect the ecological productivity of a given site. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Natural Forest: Forest areas where many of the principal characteristics and key elements of native ecosystems such as complexity, structure and diversity are present, as defined by FSC approved national and regional standards of forest management. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Non-timber forest products: All forest products except timber, including other materials obtained from trees such as resins and leaves, as well as any other plant and animal products. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Precautionary approach: Tool for the implementation of the precautionary principle. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Principle: An essential rule or element; in FSC's case, of forest stewardship. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Stakeholder: Individuals and organizations with a legitimate interest in the goods and services provided by a given forest management unit (FMU); and those with an interest in the environmental and social effects of an FMU's activities, products and services. They include: those individuals and organizations which exercise statutory environmental control over the FMU; local people; employees; investors and insurers; customers and consumers; environmental interest and consumer groups and the general public. (Source: Malaysian Criteria and Indicators for Forest Management Certification, August 2004).

Succession: Progressive changes in species composition and forest community structure caused by natural processes (non-human) over time. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Tenure: Socially defined agreements held by individuals or groups, recognized by legal statutes or customary practice, regarding the "bundle of rights and duties" of ownership, holding, access and/or usage of a particular land unit or the associated resources there within (such as individual trees, plant species, water, minerals etc). (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Threatened species: Any species which is likely to become endangered within the foreseeable future throughout all or a significant portion of its range. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Use rights: Rights for the use of forest resources that can be defined by local custom, mutual agreements, or prescribed by other entities holding access rights. These rights may restrict the use of particular resources to specific levels of consumption or particular harvesting techniques. (Source: FSC Principles and Criteria for Forest Stewardship, April 2004).

Verifier: Data or information that enhances the specificity or the ease of assessment of an indicator. Verifiers provide specific details that would indicate or reflect a desired condition of an indicator. They add meaning, precision and usually also site-specificity to an indicator. They may define the limits of a hypothetical zone from which recovery can still safely take place (performance threshold/target). On the other hand, they may also be defined as procedures needed to determine satisfaction of the conditions postulated in the indicator concerned (means of verification). (Source: The CIFOR Criteria and Indicators Generic Template. The Criteria & Indicators Toolbox Series 2, 1999).

Worker: In the context of this document, worker includes both local and migrant workers. (Source: Second Meeting of Technical Working Group, August 2006)